

APAP31191-A 072850117

PATENT

TECH CENTER 1600/2900

DEC 10 2002

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Seo Hong Yoo
Serial No. : 09/778,154 Examiner : Kim, Vickie
Filed : February 5, 2002 Group Art Unit : 1615
For : PREPARATION OF AQUEOUS CLEAR SOLUTION DOSAGE FORMS
WITH BILE ACIDS

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231

December 4, 2002

Date of Deposit

Neil P. Sirota

Attorney Name

Signature

38,306

PTO Registration No.

December 4, 2002

Date of Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant respectfully requests that the references listed in the attached PTO-Form 1449 be considered and made of record in the above-referenced application.

Copies of documents marked with an asterix (*) are not required under 37 C.F.R §1.98(d) since they were submitted in connection with U.S. Application Serial No. 09/357,549

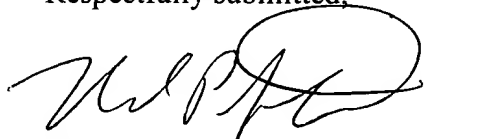
(hereinafter "the '549 application") now issued as U.S. Patent No. 6,251,428, to which the instant application claims priority under 35 U.S.C. §120. Specifically, Information Disclosure Statements for the '549 application were submitted on December 3, 1999, January 5, 2000, February 18, 2000, and November 6, 2000 together with copies of these documents. Copies documents without an asterix (*) are enclosed herewith.

Applicant has provided a copy of an English language abstract of the foreign language documents by Binek et al., 1996 and Bode et al., 1992. Applicant has provided what is believed, based on information and belief, to be an English version of the foreign language reference of Aigner and Bauer, 1988.

Identification of the references listed in the attached PTO Form 1449 is not to be construed as an admission of Applicants or Attorneys for Applicants that such reference is available as "prior art" against the accompanying application.

Applicant does not believe that a fee is due with this paper since an Office Action on the merits has not been received. The Commissioner is hereby authorized to charge any required fees due with this submission to Deposit Account No. 02-4377. Please credit any overpayment of fees associated with this filing to the above-identified deposit account. A duplicate of this page is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Neil P. Sirota', is written over a horizontal line.

Neil P. Sirota
PTO Reg. No. 38,306

Attorney for Applicant
BAKER BOTTS, L.L.P.
30 Rockefeller Plaza
New York, NY 10112
(212) 408-2548


December 4, 2002

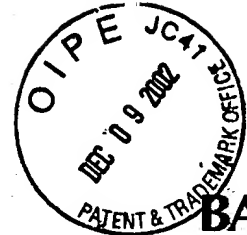
Enclosures

1615

RECEIVED

~~TECH CENTER 16092800~~

Typed or printed name	NEIL A. Sirota		
Signature		Date	December 4, 2002

**BAKER BOTTS LLP**

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 0)

C m p l t i f K n o w n

Application Number	09/778,154
Filing Date	February 5, 2001
First Named Inventor	Seo Hong Yoo
Examiner Name	Vickie Kim
Group Art Unit	1615
Attorney Docket No.	APAP31191-A 072852.0117

TECH CENTER 1600/2900

DEC 10 2002

RECEIVED

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

02-4377

Deposit
Account
Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	0		0
3**	0		0
Multiple Dependent			

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Name (Print/Type)

Neil P. Sirota

Registration No.
(Attorney/Agent)

38,306

Complete (if applicable)

Telephone

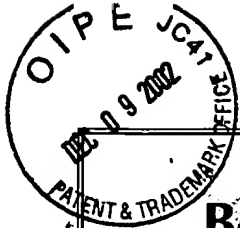
(212) 408-2548

Signature

Date

December 4, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



BAKER BOTTS LLP

Attorney Docket Number: APAP31191-A 072858 117

TECH CENTER 1600/2900

DEC 10 2002

RECEIVED

Title: PREPARATION OF AQUEOUS CLEAR SOLUTION DOSAGE FORMULATIONS WITH BIL
ACIDS

Use Space Below for Additional Information: